Docket No. 31140A

# Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Prevention Of Ovarian Cancer By Administration Of A Vitamin D. Compound

the	specification of which			
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	eck one)			
	is attached hereto.			DOT last a marking and
	was filed on	as	United States Application No.	or PCT International
(n (n	Application Number			
ľU	and was amended on _			
13			(if applicable)	
i≟l h i≟inc i0	cluding the claims, as ame	nded by any amendr		
<b>U</b> kn	cknowledge the duty to down to me to be material ection 1.56.	isclose to the United at to patentability as	States Patent and Trademark defined in Title 37, Code of	Office all information Federal Regulations,
Se an St pa	ection 365(b) of any foreign by PCT International app ates listed below and ha	gn application(s) for lication which desig ve also identified bel te or PCT Internatior	fitle 35, United States Code, patent or inventor's certificate, nated at least one country oow, by checking the box, any hal application having a filing d	ther than the United foreign application for
Pr	ior Foreign Application(s)			Priority Not Claimed
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(N	umber)	(Country)	(Day/Month/Year Filed)	0
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(N	lumber)	(Country)	(Day/Month/Year Filed)	

l h	ereby claim the ben fit unde	r 35 U.S.C. Section	119( ) of	any United	States provisional
	(Application Serial No.)	(Filing Date)			
	(Application Serial No.)	(Filing Date)			
	(Application Serial No.)	(Filing Date)	)		

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national property of the prior application and the national prior application and the national prior application and the prior application and the national prior application and th

ļ jņ	08/873,010	June 11, 1997	Pending
Ü	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
15	08/713,834	September 13, 1996	Pending
	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
IJ U	(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Harry J. Roper (Reg. No. 22,444), George S. Bosy (Reg. No. 29,364), William P. Oberhardt (Reg. No. 31,861), Raymond N. Nimrod (Reg. No. 31,987), Steven R. Trybus (Reg. No. 32,760), Aaron A. Barlow (Reg. No. 34,119), Sarah L. Taylor (Reg. No. 40,836), Timothy J. Barron (Reg. No. 39,599), David R. Bennett (Reg. No. 43,943), and Jason G. Harp (Reg. No. 42,634), all of the firm of Roper & Quigg, located at 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604, and Archie W. Umphlett (Reg. No. 25,935), of said firm located at

Three Crystal Park, 2231 Crystal Drive, Suite 410, Arlington, Virginia 22202

Send Correspondence to: Raymond N. Nimrod Roper & Quigg

200 South Michigan Avenue, Suite 1000

ļud.	Chicago, Illinois 60604	
<u> </u>	Direct Telephone Calls to: (name and telephone number)	
	Raymond N. Nimrod (312) 408-0855	
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The Brief	Full name of sole or first inventor  Gustavo C. Rodriguez	
11 1	Sole or first inventor's signature	January, 2000
	Residence	
1	United States	
	Citizenship United States	
	Post Office Address 4205 Amesbury Lane	
	Durham, North Carolina 27707	

Full name of second inventor, if any Regina Salas Whitaker	,	
Second inventor's signature	Salas Whitaker	Date January <u>5</u> , 2000
Residence United States		
Citizenship United States		
Post Office Address 5522 Quail Hollow Drive		
Hillsborough, North Carolina	a 27278	

VERIFIED STATEMENT STATUS (37 CFR 1.9(f) AND 1.27 (c)) - SMALL BUSINESS CONCERN  Serial No. Filing Date Patent No. Issue Date  Applicant/ Gustavo C. Rodriguez Patentee:  Invention: Prevention Of Ovarian Cancer By Administration Of A Vitamin D Compound  I hereby declare that I am:    the owner of the small business concern identified below:   an official of the small business concern empowered to act on behalf of the concern identified below:   an official of the small business concern empowered to act on behalf of the concern identified below:   NAME OF CONCERN: New Life Pharmaceuticals Inc.   ADDRESS OF CONCERN: 200 South Michigaa Avenue, Suite 1000, Chicago, Illinois 60604   The Patent of Concern in the previous of the statement of the mumber of employees of the statement of the mumber of the province of the statement of the part of the statement of the statement of the statement of the	N.	<b>A</b> :		Page 1 of 2
Applicant/ Patentee:  Invention: Prevention Of Ovarian Cancer By Administration Of A Vitamin D Compound  I hereby declare that I am:    the owner of the small business concern identified below:   an official of the small business concern empowered to act on behalf of the concern identified below:  NAME OF CONCERN: New Life Pharmaceuticals Inc.  ADDRESS OF CONCERN: 200 South Michigan Avenue, Suitc 1000, Chicago, Illinois 60604	VERIFIED STATEMI STATUS (37 CFR 1	ENT	LAIMING SMALI TIT LL BUSINESS CONCERN	-
Invention: Prevention Of Ovarian Cancer By Administration Of A Vitamin D Compound  I hereby declare that I am:    the owner of the small business concern identified below:   an official of the small business concern empowered to act on behalf of the concern identified below:  NAME OF CONCERN: New Life Pharmaceuticals Inc.  ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604    ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604   ADDRESS OF CONCERN:	Serial No.	Filing Date	Patent No.	Issue Date
I hereby declare that I am:  the owner of the small business concern identified below:  an official of the small business concern empowered to act on behalf of the concern identified below:  NAME OF CONCERN: New Life Pharmaceuticals Inc.  ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604  Fibereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the persons employee of the business concern is the persons employee on a full-time, part-time or dempose the previous fiscal year of the concern of the persons employed on a full-time, part-time or dempose the previous fiscal year of the concern of the persons employed on a full-time, part-time or dempose the previous fiscal year of the second of the persons employed on a full-time, part-time or dempose the previous fiscal year of the concern of the persons employed on a full-time, part-time or dempose the previous fiscal year of the concern of the persons employed on a full-time, part-time or dempose the persons employees of the business or a full-time, part-time or dempose and full-time, part-time or dempose the persons employees of the concern of the persons employees of the business or a full-time, part-time or dempose and full-time, part-time or dempose of the part time or a full-time, part-time or dempose of the part time or a full-time, part-time or dempose of the part time or dempose or dem	• •	riguez		
the owner of the small business concern identified below:  an official of the small business concern empowered to act on behalf of the concern identified below:  NAME OF CONCERN: New Life Pharmaceuticals Inc.  ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604  Thereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties concern identified above to control both.  Thereby declare that rights under contract or law have been conveyed to and remain with the small business concern Identified above with regard to the above identified invention described in:  The application filed herewith with title as listed above.  The application identified above.  The application identified above.  The application identified above.  The application having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under	Invention: Prevention Of C	Ovarian Cancer By Administra	tion Of A Vitamin D Compound	
the owner of the small business concern identified below:  an official of the small business concern empowered to act on behalf of the concern identified below:  NAME OF CONCERN: New Life Pharmaceuticals Inc.  ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604  Thereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 1.21.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, and exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties concern lentified above to control both.  Thereby declare that rights under contract or law have been conveyed to and remain with the small business concern Identified above with regard to the above identified invention described in:  The application filed herewith with title as listed above.  The application identified above.  The application identified above.  The application having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under				
ADDRESS OF CONCERN: New Life Pharmaceuticals Inc.  ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604  Hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.  Hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:  The application filed herewith with title as listed above.  The patent identified above.  The patent identified above.  The patent identified above to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under	I hereby declare that I am:			
NAME OF CONCERN: New Life Pharmaceuticals Inc.  ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604    Hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.				
ADDRESS OF CONCERN: 200 South Michigan Avenue, Suite 1000, Chicago, Illinois 60604  Application of the payer of the suite 1000, Chicago, Illinois 60604  Application having rights to the invention is listed on the next page and no rights to the invention are held by any concern which would not qualify as a small business concern are not exclusive, each individual, concern or parson, other than the inventor, who could not qualify as a mindependent inventor under 37 CFR 1.9(d) or a nonprofit organization under	an official of the s	small business concern empov	vered to act on behalf of the con	cem identified below:
the patent identified above.  If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under	thereby declare that the a 13 CFR 121.3-18, and rep (b) of Title 35, United Stat does not exceed 500 persons is the average over the person temporary basis during earther, directly or indirectly controls or has the power to the person declare that right concern identified above were	produced in 37 CFR 1.9(d), for es Code, in that the number of the colons. For purposes of this state previous fiscal year of the colon of the pay periods of the first, one concern controls or has a control both.  Ints under contract or law has with regard to the above identificant.	r purposes of paying reduced for employees of the concern, incoment, (1) the number of employed scal year, and (2) concerns are as the power to control the other we been conveyed to and remarked invention described in:	ees under Section 41(a) and cluding those of its affiliates, yees of the business concern on a full-time, part-time or affiliates of each other when er, or a third party or parties
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Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:							
			organization ex or organization	kists. is listed below.			
FULL NAME ADDRESS							
		Individual		Small Business Concern			Nonprofit Organization
FULL NAME ADDRESS							
ADDRESS		Individual		Small Business Concern			Nonprofit Organization
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ADDRESS		Individual		Small Business Concern			Nonprofit Organization
FULL NAME	u	mulviduai	J	Smail Dadilleds Concern			
ADDRESS							
1.3		Individual		Small Business Concern			Nonprofit Organization
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NAME OF PE	RSON SIGI	NING:	Raymond N.	Nimrod			
TITLE OF PER	RSON SIGN	NIŅG					
OTHER THAN OWNER: Registered Agent							
ADDRESS OF	DDRESS OF PERSON SIGNING: 200 South Michigan Avenue						
	Suite 1000						
			Chicago, Illi	nois 60604			
SIGNATURE:		My	M	<u> </u>	DATE: <u>Ja</u>	nuary	7,2000

#### Assignment f Rights and Interest in Invention (Multiple inventors; single assignee)

	Docket No.
1	31140

This is an Assignment of the following rights, title and interest: (check all that apply): United States of America rights, title and interest in the invention X Foreign rights, title and interest in the invention X United States Patent Application Serial No. 08/873,010  $\boxtimes$ Date of Filing: June 11, 1997 Date of Execution: June 11. 1997 ☐ United States Provisional Patent Application Serial No. ☐ United States Patent No(s). ☐ International (PCT) Patent Application Serial No. ☐ Other (specify) Title of the Invention Prevention Of Ovarian Cancer By Administration Of A Vitamin D Compound Inventors (assignors) Address Name 4205 Amesbury Lane, Durham, North Carolina 27707 Gustavo C. Rodriguez 5522 Quail Hollow Drive, Hillsborough, North Carolina 27278 Regina Salas Whitaker -ĻŲ [1] Assignee Address Name 200 South Michigan Avenue New Life Pharmaceuticals Inc. Suit 1000 Chicago, Illinois 60604

### Assignment of Rights, and Interest in Invention (Multiple in ..., single assignee)



Docket No. 31140

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

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## Assignment of Right and Interest in Inventi n (Multiple inventors; single assignee)



Docket No. 31140

(Although nota	rization is not necessary, it will be co	Notarization  Insidered prima facie evidence of execution pursuant to 35 U.S.C. 261.)
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Executed this  # Chicago, IL	12 day of Dece	0 //
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~ <i></i>	**************************************	
State of Illinois	<b>OFFICIAL SEA</b> ROBIN L USTAN	
County of Cook	NOTARY PUBLIC, STATE OF I	9/23/01 }
Before me personally a	ppearea Gustavo C. Rodlig	use.
who acknowledged the execute the same this		e a free act and deed and also represented that he or she is authorized to  December , in the year 1999
		Robert A Motary Public)
un Europe deleie	28 day of Dolle	mber, in the year 1999
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41 1. <b></b> .		Regina Salas Whitaler Signature of Inventor)
State of North	CAroliNA	(Signature of Inventor)
County of Z	ourham	
Refore me nersonally	appeared Regina Salas Whit	taker
The make and adorad the	a foregoing instrument to h	e a free act and deed and also represented that he or she is authorized to
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		(Signature of Inventor)
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County of		
Before me personally	appeared	
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